



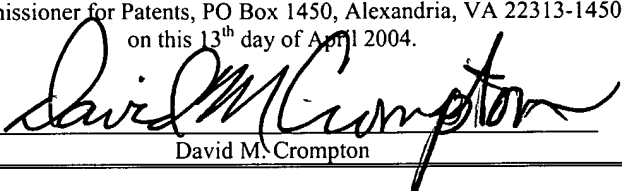
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kevin Richardson et al. Confirmation No.: Unknown
Serial No.: 10/767,981 Examiner: Unknown
Filing Date: January 29, 2004 Group Art Unit: Unknown
Docket No.: 1001.1435102 Customer No.: 28075
For: C-CHANNEL TO O-CHANNEL CONVERTER FOR A SINGLE OPERATOR
EXCHANGE BILIARY CATHETER

TRANSMITTAL SHEET

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

<p><u>CERTIFICATE UNDER 37 C.F.R. § 1.8:</u> I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on this 13th day of April 2004.</p> <p>By  David M. Crompton</p>

We are transmitting herewith the attached:

- ☐ Amendment
☐ No additional claim fee required
☐ The claim fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		X 9=	\$	X 18=	\$
INDEPENDENT CLAIMS	-	=		X 43=	\$	X 86 =	\$
() FIRST MULTIPLE DEPENDENT CLAIM				+ 145 =	\$	+ 290 =	\$
TOTAL				\$		\$	

[] A check in the amount of \$_____ is enclosed. Itemization:

Fee Code _____ \$

Fee Code _____ \$

Fee Code _____ \$

[] Small entity status of this application under 37 C.F.R. §§ 1.9 and 1.27 has been established.

[XX] Other: Information Disclosure Statement, Form PTO-1449 and 15 cited non-U.S. patent/publication references.

[XX] Return Receipt Postcard (MPEP 503).

[XXXX] Please charge any deficiencies or credit any overpayment in the enclosed fees to Deposit Account No. 50-0413.

By: 
David M. Crompton, Reg. No. 36,772

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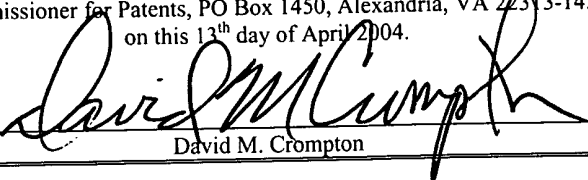
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kevin Richardson et al.
Serial No.: 10/767,981
Filing Date: January 29, 2004
Docket No.: 1001.1435102

Confirmation No.: Unknown
Examiner: Unknown
Group Art Unit: Unknown
Customer No.: 28075

INFORMATION DISCLOSURE STATEMENT

<p><u>CERTIFICATE UNDER 37 C.F.R. § 1.8:</u> I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on this 13th day of April 2004.</p> <p>By  David M. Crompton</p>

Dear Sir:

Pursuant to the obligations of candor and good faith imposed by 37 C.F.R. § 1.56, the documents listed on the attached PTO-1449 are hereby disclosed.

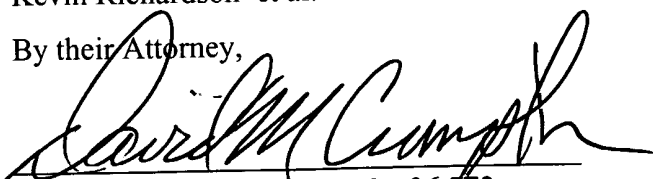
In accordance with 37 C.F.R. § 1.98(a)(2), a copy of each non-U.S. patent/publication document or other information listed on the enclosed Form PTO-1449 is provided.

No representation is intended to be made hereby that any of the cited references establishes, by itself or in combination with other information, a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

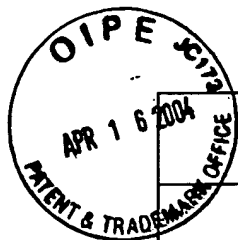
Kevin Richardson et al.

By their Attorney,



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Date: 4/13/04



FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	Atty. Docket No.: 1001.1435102	Serial No.: 10/767,981
	Kevin Richardson et al.	
	Confirmation No.: Unknown	
	Filing Date: January 29, 2004	Group Art: Unknown

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Filing Date If Appropriate
AA	B1/4,762,129	07/02/1991	Bonzel	
AB	RE 31,855	03/26/1985	Osborne	
AC	1,204,053	11/07/1916	Moore	
AD	2,623,520	12/30/1952	Bamford, Jr. et al.	
AE	3,015,869	01/09/1962	Rapata	
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AG	4,345,606	08/24/1982	Littleford	
AH	4,696,668	09/29/1987	Wilcox	
AI	4,748,982	06/07/1988	Horzewski et al.	
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AK	4,771,777	09/20/1988	Horzewski et al.	
AL	4,781,677	11/01/1988	Wilcox	
A M	4,835,824	06/06/1989	Durham et al.	
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AO	4,900,184	02/13/1990	Cleveland	
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BB	5,125,915	06/30/1992	Berry et al.	
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DM	EP 0 388 112 A2	09/19/1990	EPO	
DN	EP 0 792 657 A2	09/03/1997	EPO	
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DQ	WO 96/33764	10/31/1996	PCT	
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

DX	Knecht, Gregory L., M.D. et al., "Double-Channel Fistulotome For Endoscopic Drainage of Pancreatic Pseudocyst", <u>Gastrointestinal Endoscopy</u> , Vol. 37, No. 3, May/June 1991, pp. 356-357.
DY	Siegel, Jerome H., M.D. et al., "Two New Methods For Selective Bile Duct Cannulation and Sphincterotomy", <u>Gastrointestinal Endoscopy</u> , Vol. 33, No. 6, December 1987, pp.438-440.

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.